



## Carl Fornaris

Co-Chair, Financial Services Practice  
Co-Chair, Digital Assets and Blockchain Technology Group



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Carl Fornaris is co-chair of the Financial Services Practice and co-chair of the Digital Assets and Blockchain Technology Group. Carl represents clients in an extensive range of regulatory, transactional and administrative enforcement matters, including institution formation and licensing, capital-raising transactions, acquisitions and divestitures, BSA/AML compliance and OFAC sanctions programs (including the Corporate Transparency Act), cryptocurrency regulation, payments and FinTech, Dodd-Frank Act compliance, failed bank receivership and resolution advice, and federal and state agency enforcement proceedings. Throughout his career, he has counseled clients in their dealings with the Federal Reserve, OCC, FDIC, FRB, CFPB, FinCEN, SEC, FINRA, Florida Office of Financial Regulation, New York Department of Financial Services, and other state supervisory authorities.

Carl also represents lenders and credit parties in financing transactions, particularly credits to non-U.S. loan parties, asset-based credits, acquisition financing, and stand-by letters of credit.

Carl is also a member of the Bank Receivership Task Force, created in March of 2023.

Previously, Carl served as head of legal and compliance for the Latin America region of Barclays Bank PLC, with responsibility for managing legal and compliance matters throughout the region.